

#### UNIVERSITY OF CALICUT

#### **Abstract**

DoR-Amendments in Calicut University Research Regulations 2016 -resolution of the Academic Council vide item No.I.2 which considered the proposal for amendment in Calicut University Research Regulations 2016 - implemented- orders issued

#### Directorate of Research

U.O.No. 654/2020/Admn

Dated, Calicut University.P.O, 17.01.2020

Read:-1) Requests received from Research Scholars and notes from various sections in Directorate of Research

- 2) minutes of the meeting of the Research Council in its meeting held on 25.06.2018
- 3) Extract of the minutes of the meeting of the Standing Committee of the Syndicate on Courses & Research held on 27.12.2018
- 4) Extract of the minutes of the meeting of the Syndicate held on 30.01.2019 vide item No.2019.95\*.
- 5) U.O.No.2635/2019/Admn dated 20.02.2019.
- 6) Minutes of the meeting of the Academic Council held on 05.10.2019 vide item No.I.2

### **ORDER**

As per paper read as 1<sup>st</sup> above, the research council in its meeting held on 25.06.2018 considered various requests received from Research Scholars and Notes from various sections of Directorate of Research and gave their recommendations.

The minutes of the meeting of the Research Council held on 25.06.2018 was approved by the Vice Chancellor and ordered to place the recommendations before the Standing Committee of the Syndicate on Courses and Research for the approval of amendments proposed in the Calicut University Research Regulations 2016, vide reference cited as 2<sup>nd</sup> above.

The proposed amendments in Calicut University Research Regulations 2016 are as follows:

# Clause 2.8.5. De-registration:

A scholar, through his/her supervisor, can submit an application to Research Advisory Committee for de registration after two years from the date of his/her registration to the programme citing valid reason(s) subject to the following conditions:

- a) If satisfied with the reason(s) for de-registration, the Research Advisory Committee may recommend de-registration of the scholar to the Directorate of Research. The Vice Chancellor shall approve the orders to de-register the scholar.
- b) The scholar who has been granted extension will not be allowed to de-register.
- c) A de-registered scholar may apply for re-registration, in the requisite format (this will be finalized accordingly), in appropriate format to the concerned Research Advisory Committee, after payment of a re-registration fee of Rs. 5000/-.
- d) The re-registered scholar has to submit his/her thesis within one year of re-registration.
- e) The total research period including de-registration and re-registration shall not exceed 10 years. Otherwise, the scholar's admission in the programme shall automatically stand cancelled.
- f) The scholar shall not pay requisite Ph.D. semester fee during the de-registered period.
- g) The candidate should have completed his/her course work before de-registration.
- h) Vacancy will arise under the research supervisor on de-registration of a Ph.D. scholar working under his/her supervision.
- i) The candidate should have cleared all pending dues before de-registration, failing which he/she shall not be eligible for re-registration.
- j) A re-registered scholar shall be treated over and above the set ceiling for Professor / Associate Professor/Assistant Professor as mentioned in Clause 5.4 of 2016 Regulations.
- k) The candidate whose supervising teacher has retired when the candidate is re-registered may appoint a co-guide for the period of re-registration.
- I) De-registered candidates after re-registration cannot claim fellowship during the re-registered period.

  II. The Research Council in its meeting held on 21.12.2017, vide item.No.4 resolved to entrust Director, Directorate of Research to propose draft amendment required in the Calicut University Research
- Regulation 2016. Some clauses which were in the Calicut University Research Regulations 2012 but were left out and those clauses which are present in the Calicut University Research Regulations 2016 but have to be modified and are essential for streamlining the 2016 regulations are given below:

## Proposal of amendments to the Calicut University Research Regulations 2016 is given below:

	Proposed Amendments in Calicut University Research Regulations 2016	
	Teachers of the University / Government or Aided	

satisfying the conditions of Clause (2.1) may registration. be granted part-time registration.

Colleges affiliated to the University / Govt. or Aided Secondary and Higher Secondary School within the Clause 2.8.2. Teachers of the University/Government or Aided Colleges affiliated to the University / Govt. or Aided Secondary and Higher Secondary School within the geographical jurisdiction of the University and holding a permanent position there on a working in a research project under the University / Employees of the University and holding a permanent position there and are eligible for admission to Ph.D. programme satisfying a permanent position there and are eligible for admission to Ph.D. programme satisfying and Higher Secondary School within the geographical jurisdiction of the University and holding a permanent position there /project fellows who have been selected on the basis of a written Test and interview and working in a research project under the investigation of a research guide of the University are eligible for admission to Ph.D. programme satisfying are eligible for admissi eligible for admission to Ph.D. programme the conditions of Clause (2.1) may be granted part-time

> 2.8.2.1: Project fellows shall only be given parttime registration

Clause 2.8.3: A full-time registration may be converted to part-time, after the completion of one year, or when the candidate declared successfully completed the course work.

A full-time registration may be converted to parttime, after the completion of one year, or when the candidate declared successfully completed the course work.

Full-time scholars can convert their mode research into part-time consequent to appointment on permanent basis (Government/Aided).

Full-time scholars can convert their mode of research to part-time if they get appointment as lecturers (guest / contract) in Govt /aided institutions subject to surrender of their fellowship.

Clause 3.2 (Duration of the Programme):

Extension beyond the above limits, exceptional circumstances, may be permitted Research scholars can avail the facilities of the by the Vice Chancellor for the completion of the research work and submission of Centre, until the period of registration is valid. thesis.

The Vice Chancellor may give an extension by one more year in exceptional circumstances for the completion of the research work and submission of thesis . The candidate shall apply for extension before the expiry of the validity period.

No further extension of time beyond the additional one year period granted shall be allowed under any circumstances.

No claim for extension of facilities which are received on the basis of being a research scholar of the University shall be made after the expiry of the validity period of registration.

Registered candidates shall not be allowed register simultaneously for any other degree course

Clause 5.8 In the case of a teacher being transferred among colleges under govt./corporate/same management, affiliated to the University, the recognition of research centre shall be continued for the govt./corporate/same management, affiliated to the guide existing candidate. In such cases, a Co-Supervisor is to be engaged at the research centre.

Clause 5.8: In the case of a teacher being transferred at among colleges under

research centre, the recognition of the teacher as research guide at research centre shall be continued for the existing candidate. In such cases, a Co-Supervisor is to be engaged at the research centre. The approval of the RAC should be obtained. In the case of a teacher being retired from service, a co-guide with the approval of the RAC should be appointed.

Clause 6.2 A minimum of four credits shall be assigned to one or more courses on∥assigned to shall Research Methodology which cover areas such as quantitative methods review published research

Clause 6.2 A minimum of four credits one or more courses Research Methodology which shall cover areas such quantitative methods. computer applications, computer applications, research ethics and review of published research in the the relevant field, training, and field work and other relevant field, training, and field work and areas found relevant to the discipline concerned. Other level areas in the subjects concerned for knowledge in the preparation for Ph.D. degree. knowledge in the preparation for Ph.D. degree.

other areas found relevant to the discipline courses shall be advanced level areas in the subjects concerned.Other courses shall be advanced concerned for enabling the students to acquire deep

enabling the students to acquire deep|The candidate undergoing course work has to attend 2 courses, Core Course and Elective Course with 4 credits each having 96 teaching hours. In total the candidate should attend a course work of 192 hours during a single semester of 6 months.

Clause 6.6: Scholars already holding M. Phil. degree and

admitted to the Ph.D. programme, or those admitted to the Ph.D. programme shall be prescribed by the Department.

Scholars already holding M. Phil. degree and admitted to the Ph.D. programme, or those who have already completed the course work in M.Phil. and have been permitted to proceed to the Ph.D. course, may be exempted by the Department from the Ph.D. course specific work upon recommendation Research Advisory Committee Recommendation of the Research.

who have already completed the course work Advisory Committee in this matter need to be clear in M.Phil. and have been permitted to proceed to the Ph.D. course, may be exempted by the Department from the Ph.D.course work. All other candidates cleared both the Course Work and Work cleared hoth the Course and required to complete the Ph.D. course work Research Methodology in the relevant area of Ph.D. research. If the candidate has cleared only Research Methodology, the candidate has to pass a separate examination for the required paper conducted by the University. All other candidates admitted to the Ph.D. programme shall be required to complete the Ph.D. course work prescribed by the Department.

> There shall be a Research Advisory Committee or an equivalent body for similar purpose as defined in the Statutes/Ordinances of the University, for each Ph.D. scholar. The committee shall consist of:

- a) Admission at University Departments.
- 1) HOD (Chairman)
- Dean of the Faculty
   Three subject Experts (Two from Department, nominated by the Department Council; and another from outside to be nominated by the Vice-Chancellor

Clause 7.1: There shall be a Research ||4) Supervising teacher of the concerned research

Advisory Committee or an equivalent body scholar. for similar purpose as defined in the Statutes b) Admission at Research Centres at Colleges / Ordinances of the University, for each Ph.D. scholar. The Research Supervisor of the 1 Principal (Chairman) following responsibilities:

- scholar shall be the Convener of this 2) Three experts (one Faculty member from the Committee. This Committee shall have the University Department /and two senior research guides from the College, to be nominated by the\ Principal)
  - 3) One person nominated by the Vice-Chancellor
  - 4) Supervising teacher of the concerned research

# c)Admission at Recognized Research Institution

- 1) Director (Chairman)
- 2) Dean of the concerned Faculty
- 3)One head of the University Department, nominated by the Director
- 4) Two subject experts nominated by the Director
- 5) One faculty member, from University Departments nominated by Hon'ble Vice- Chancellor.
- 6) Supervising teacher of the concerned research

## Additions to the Calicut University Research Regulations 2016.

# **Centre Change**

- research scholar shall change his/her centre of research but only under special/unavoidable circumstances and if there is another research centre and guide of the same subject willing to accept the research scholar.
- 2. The research advisory committee shall recommend for such a change if they find the candidate's request satisfactory.

3. University may allow centre change upon production of 'no objection' certificates from the concerned heads of research centres and guides.

4. A research scholar shall apply for centre change by remitting Rs. 1050/- and with specific recommendation of the research advisory committee. Willingness letters from the heads of both of the research centres and willingness of the existing guide and acceptance of new guide shall also be obtained.

5. Centre change shall be permitted only once during the entire research period. Specific title of research: The research scholars may work on the topic approved by the Research Advisory Committee and the specific title of the research work may be finalised by the Supervising Teacher before the pre-submission presentation.

### LEAVE

1) During the period of research, a full-time scholar can avail leave of absence (Duty Leave) from his/her centre, for data collection/ analytical study/consultation with external experts. The candidate shall submit the progress report, under such cases of leave of absence (if more than 31 days) from the center, immediately after joining back the institution.
2) The Vice Chancellor shall grant Duty Leave upon recommendation of the DC for pursuing sandwich

programme (part of research conducted abroad).

3) Maximum period of Leave (fragments or continuously on solid reasons) during the whole period of résearch shall be one month (31 days) with fellowship, beyond which, two more months shall be granted without fellowship.

- 4) All kinds of leaves (duty/casual/medical) to the Research Scholar may be granted by the Head of the Department/Head of RRC (Maximum 15 days), Registrar/DoR (up to one month) or Vice Chancellor (beyond one month). All kinds of leave shall be duly recommended by the Research Guide and forwarded by the Head of Departments (in case of research centres in affiliated colleges).
- 5) Maternity/Paternity leave shall be granted by the HoD/HoI of RRC concerned to the Scholar as per rules in vogue, with intimation to the DoR. This kind of leave can be availed once during the entire period research.
- 6) Part-time research scholars should have a minimum attendance of 60 days per year with 3 days/month including holidays.
- 6) Besides the holidays prescribed by the University, casual leave not exceeding 20 days per year may be granted to the Scholars. No single period of casual leave shall exceed 15 days including holidays.
- 7) Minimum research/duty time will be at par with the University Ministerial Staff/Kerala Government
- 8) Attendance certificate is mandatory for any type of Duty Leave, for which advance tour programme (ATP) should be approved by the HoD/HoI of the RRC concerned.
- 9) The HoD/Hol of the RRC shall maintain the attendance and leave registers, of all the research scholars.

As per reference cited 3<sup>rd</sup> above, the Syndicate Standing Committee on Courses & Research in its meeting held on 27.12.2018 has recommended to constitute Syndicate subcommittee with Dr. T. M. Vijayan, Member Syndicate as Convenor, Dr. P. Vijayaraghavan, Dr. C. L. Joshy and Dr. Rijulal as members to consider the proposal for amendment in Calicut University Research Regulation 2016. The Syndicate Sub-Committee submitted their report with the following recommendations regarding the amendment in Calicut University Research Regulations 2016.

### Recommendations of the Syndicate Sub-Committee

- 1) The committee approved all the provisions of De-registration, amendments in Clause 2.8.2, Clause 2.8.3, Clause 3.2, Clause 5.8, Clause 6.2, Clause 6.6, Clause 7.1 of the Calicut University Research Regulations 2016 proposed by the meeting of the Research Council held on 25.06.2018.
- 2) The committee approved the additions in Calicut University Research regulation 2016 as follows:

# **Centre Change**

- research scholar shall change his/her centre of research but only special/unavoidable circumstances and if there is another research centre and guide of the same subject willing to accept the research scholar.
- 2) The research advisory committee of the newly opted Research Centre shall recommend for such a change if they find the candidate's request satisfactory.
- 3) University may allow centre change upon production of 'no objection' certificates from the concerned heads of research centres and guides.
- 4) A research scholar shall apply for centre change by remitting prescribed fee and with specific reasons for centre change of the research advisory committee in the newly opted research centre and with specific reasons for centre change of the research advisory committee. Centre change shall be permitted only once during the entire research period. Specific title of research: The research scholars may work on the topic approved by the Research Advisory Committee and the specific title of the research work may be finalised by the Supervising Teacher before the pre-submission presentation.

1) During the period of research, a full-time scholar can avail (Duty Leave) from his/her centre, for data collection/ analytical study/consultation with external experts. The candidate shall submit the progress report, under such cases of Duty leave (if more than 31 days) from the center, immediately after joining

back the institution.

2016

2) The Vice Chancellor shall grant Duty Leave upon recommendation of the DC for pursuing sandwich programme (part of research conducted abroad).

3) Maximum period of Leave (fragments or continuously on solid reasons) during the whole period of research shall be one month (31 days) with fellowship, beyond which, two more months shall be granted without fellowship.

4) All kinds of leaves (duty/casual/medical) to the Research Scholar may be granted by the Head of the Department/Head of RRC (Minimum 15 days), Registrar/DoR (up to one month) or Vice Chancellor (beyond one month). All kinds of leave shall be duly recommended by the Research Guide and forwarded by the Head of Departments (in case of research centres in affiliated colleges).

5) Maternity/Paternity leave shall be granted by the HoD/HoI of RRC concerned to the Scholar; as per rules in vogue, with intimation to the DoR. This kind of leave can be availed once during the entire period research.

6) Part-time research scholars should have a minimum attendance of 60 days as per year with a

- minimum of 3 days/month including holidays.

  7) Besides the holidays prescribed by the University, casual leave not exceeding 20 days per year may
- be granted to the Scholars. No single period of casual leave shall exceed 15 days including holidays, 8) Minimum research/duty time will be at par with the University Ministerial Staff/Kerala Government Employees.
- 9) Attendance certificate is mandatory for any type of Duty Leave, for which advance tour programme (ATP) should be approved by the HoD/HoI of the RRC concerned.
- 10) The HoD/HoI of the RRC shall maintain the attendance and leave registers, of all the research scholars.

After considering the matter the Vice-Chancellor has ordered on 25.01.2019 to place the matter before Syndicate for consideration. As per the reference read 4<sup>th</sup> above, the Syndicate has approve the report of the Syndicate subcommittee constituted by the meeting of the Syndicate Standing Committee on Courses & Research held on 27.12.2018, which considered the proposal for amendments in Calicut University Research Regulations 2016 recommended by the meeting of the Research Council on 25.06.2018.

As per reference cited as 5<sup>th</sup> above, the Syndicate Resolution was implemented. Also the Vice-Chancellor ordered to place the recommendations of the Syndicate before the Academic Council. The Academic Council in its meeting held on 05.10.2019, vide item No.I.2 considered the matter and resolved to approve the following amendments.

Present Clause in Calicut University Research Regulations Proposed Amendments in Calicut University

	Clause 2.8.2. Teachers of the University / Government or Aided Colleges affiliated to the University / Govt. or Aided Secondary and Higher Secondary School within the geographical
Clause 2.8.2. Teachers of the University/Government or Aided Colleges affiliated to the University / Govt. or Aided	University and holding a permanent position
Secondary and Higher Secondary School within the geographical jurisdiction of the University / Employees	there /project fellows who have been selected on the basis of a written Test and interview
of the University and holding a permanent position there and are eligible for admission to Ph.D. programme satisfying	and working in a research project under the investigation of a research guide of the
the conditions of Clause (2.1) may be granted part-time registration.	<b>University</b> and are eligible for admission to Ph.D. programme satisfying the conditions of Clause (2.1) may be granted part-time registration.

Clause 2.8.3: A full-time registration may be converted to parttime, after the completion of one year, or when the candidate declared successfully completed the course work.

Clause 2.8.3. A full-time registration may be converted to part-time, after completion of one year, or when the candidate is declared as having successfully completed the course work.

2.8.2.1: Project fellows shall only be given part-

Full-time scholars can convert their mode of research into part-time consequent to appointment on permanent basis (Government/Aided).

# Clause 2.8.5. De-registration:

Research Regulations 2016

A scholar, through his/her supervisor, can submit an application to Research Advisory Committee for de-registration after two years from the date of his/her registration to the programme citing valid reason(s), subject to the following conditions:

a) If satisfied with the reason(s) for de-

Clause 2.8.5: Nil

Clause 2.8.5:

# Clause 3.2 (Duration of the Programme):

Extension beyond the above limits, in exceptional circumstances, may be permitted by the Vice Chancellor for the completion of the research work and submission of thesis.

régistration, the Research Advisory Committee may recommended de-registration of the scholar to the Directorate of Research. The Vice Chancellor shall approve the orders to de-register the scholar.

- b) The scholar who has been granted extension will not be allowed to de-register.
- c) A de-registered scholar may apply for reregistration, in the requisite format (this will be finalized accordingly), to the concerned Research Advisory Committee, after payment of a reregistration fee prescribed by the Syndicate.
- d) The re-registered scholar has to submit his/her thesis within one year of re-registration.
- e) The total research period including deregistration and re-registration shall not exceed 10 years. Otherwise, the scholar's admission in the programme shall automatically stand
- f) The scholar shall not pay requisite Ph.D. semester fee during the de-registered period.
- g) The candidate should have completed his/her Course work before de-registration.
- h) Vacancy will arise under the research supervisor on de-registration of Ph.D. scholar working under his/her supervision.
- i) The candidate should have cleared all pending dues before de-registration, failing which he/she shall not be eligible for re-registration.
- j) A re-registered scholar shall be treated over and above the set ceiling for Professor/Associate professor/Assistant Professor as mentioned in Clause 5.4 of 2016 Regulations.
- k) The candidate whose supervising teacher has retired when the candidate is re-registered may appoint a co-quide for the period of re-registration
- I) De-registered candidates after re-registration cannot claim fellowship during the re-registered period.

# Clause 3.2 (Duration of the Programme):

The Vice Chancellor may give an extension by one more year in exceptional circumstances for the completion of the research work and submission of thesis.

The candidate shall apply for extension before the expiry of the validity period .

No further extension of time beyond the additional one year period granted shall be allowed under any circumstances.

Research scholars can avail the facilities of the Centre, until the period of registration is valid.

No claim for extension of facilities which are received on the basis of being a research scholar of the University shall be made after the expiry of the validity period of registration.

Registered candidates shall not be allowed to register simultaneously for any other degree course

Clause 5.8 In the case of a teacher being transferred among colleges under govt./corporate/same management, affiliated to the University, the recognition of the teacher as research guide at research centre shall be continued for the existing candidate. In such cases, a Co-Supervisor is to be engaged at the research centre.

Clause 5.8: In the case of a teacher being transferred among colleges under govt./corporate/same management, affiliated to the University and if that college is not a recognised research centre, the recognition of the teacher as research guide at research centre shall be continued for the existing candidate.

∥In such cases, a Co-Supervisor is to be engaged at the research centre. The approval of the RAC should be obtained. In the case of a teacher being retired from service, a co-guide with the approval of the RAC should be appointed. Clause 6.2 A minimum of four credits shall be assigned to one or more courses on Research Methodology which shall cover areas such as quantitative methods, computer applications, research ethics and review of published research Clause 6.2 A minimum of four credits shall be assigned to in the relevant field, training, and field work and one or more courses on Research Methodology which shall other areas found relevant to the discipline cover areas such as quantitative methods, computer concerned. Other courses shall be in advanced applications, research ethics and review of published research level areas in the subjects concerned for enabling in the relevant field, training, and field work and other areas the students to acquire deep knowledge in the found relevant to the discipline concerned. Other courses shall preparation for Ph.D. degree. be advanced level areas in the subjects concerned for enabling The candidate undergoing course work has to the students to acquire deep knowledge in the preparation for attend 2 courses, Core Course and Elective Ph.D. degree. Course with 4 credits each having 96 teaching hours. In total the candidate should attend a course work of 192 hours during a single semester of 6 months. Two Course works may be scheduled in a year viz: from 1st January to 30th June and from 1st of July to 31st of December. Clause 6.6: Scholars already holding M. Phil. degree and admitted to the Ph.D. programme, or those who have already completed the course work in M.Phil. and have been permitted to proceed to the Ph.D. course, may be exempted by the Department from the Ph.D. course work upon specific recommendation of the Research Advisory Committee. Clause 6.6: Scholars already holding M. Phil. degree and Recommendation of the Research Advisory admitted to the Ph.D. programme, or those who have already completed the course work in M.Phil. and have been Committee in this matter need to be clear permitted to proceed to the Ph.D. course, may be exempted by and specific as to whether the candidate's Department from the Ph.D.course work. other candidates admitted to the Ph.D. programme shall be M.Phil. degree is regular or whether the candidate required to complete the Ph.D. course work prescribed by has cleared both the Course Work and the Department. Research Methodology in the relevant area of Ph.D. research. If the candidate has cleared only Research Methodology, the candidate has to pass a separate examination for the required paper (elective paper) conducted the by University. other candidates admitted the Ph.D. programme shall be required to complete the Ph.D. course work prescribed by the Department. Clause 7.1: There shall be a Research Advisory Committee or an equivalent body for similar purpose as defined in the Statutes/Ordinances of the University, for each Ph.D. scholar. The committee shall consist a) Admission at University Departments. 1) HOD (Chairman) 2) Dean of the Faculty 3) Three subject Experts (Two from Department, nominated by the Department Council; and another from outside to be nominated by the Vice-Chancellor) 4) Supervising teacher of the concerned research scholar.

Clause 7.1: There shall be a Research Advisory Committee 1) Principal (Chairman) or an equivalent body for similar purpose as defined in 2) Three experts (one Faculty member from the the Statutes / Ordinances of the University, for each Ph.D. University Department /and two senior research scholar. The Research Supervisor of the scholar shall be the guides from the College, to be nominated Convener of this Committee. This Committee shall have the by the Principal). If there is no University following responsibilities:

# b) Admission at Research Centres at Colleges

departments for a particular discipline, one faculty member from outside, will be nominated.

3) One person nominated by the Vice-Chancellor 4) Supervising teacher of the research scholar cóncerned.

5) Head of the Department, if he/she has Ph.D

# c)Admission at Recognized Research Institutions

1) Director (Chairman)

Dean of the concerned Faculty concerned.
 One Head of the University

Department, nominated by the Director/Chairman

4) Two subject experts nominated by the Director/Chairman.

5) One faculty member, from

University Departments nominated by Hon'ble Vice- Chancellor.

6) Supervising teacher of the concerned research scholar concerned.

The ammendments will come into effect from 05.10.2019, the date on which the Academic Council approved the ammendments.

Orders are issued accordingly.

Sivadamini K.V

Deputy Registrar

To

The Heads of all Research Centres.

Forwarded / By Order

Section Officer